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A/sequence

Docket No. 0575/62097/IPW/IML

## IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Honorable Assistant Commissioner for Patents

Washington, D.C. 20231

BOX: PATENT APPLICATION

S I R:

August 14, 2000

Transmitted herewith for filing are the specification and claims of the patent application of:

David M. Stern, et al.

Inventor(s) \_\_\_\_\_ for

A METHOD TO INCREASE CEREBRAL BLOOD FLOW IN AMYLOID ANGIOPATHY

Title of Invention

Also enclosed are:

\_\_\_\_\_ sheet(s) of \_\_\_\_\_ informal \_\_\_\_\_ formal drawings.

☒ Oath or declaration of Applicant(s). (Unsigned)☒ A power of attorney (Unsigned)

\_\_\_\_\_ An assignment of the invention to \_\_\_\_\_

\_\_\_\_\_ A Preliminary Amendment

☒ A verified statement to establish small entity status under 37 C.F.R. §1.9 and §1.27. (Unsigned)

The filing fee is calculated as follows:

## CLAIMS AS FILED, LESS ANY CLAIMS CANCELLED BY AMENDMENT

	NUMBER FILED		NUMBER EXTRA*		RATE		FEE	
					SMALL ENTITY	OTHER ENTITY	SMALL ENTITY	OTHER ENTITY
Total Claims	16-20	=	0	X	\$ 9.00	\$18.00	= \$ 0	\$
Independent Claims	3 -3	=	0	X	\$39.00	\$78.00	= \$ 0	\$
Multiple Dependent Claims Presented: _____ Yes <input checked="" type="checkbox"/> No					\$130.00	\$260.00	= \$ 0	\$
*If the different in Col. 1 is less than zero, enter "0" in Col. 2					BASIC FEE		\$ 345	\$ 690
					TOTAL FEE		\$ 345	\$

08/14/00



10883 U.S. PTO

10884 U.S. PTO  
09/638648  
08/14/00

00883 U.S. PTO 09/638648 08/14/00

x A check in the amount of \$ 345.00 to cover the filing fee.

\_\_\_\_ Please charge Deposit Account No. \_\_\_\_\_ in the amount of  
\$\_\_\_\_\_.

X The Commissioner is hereby authorized to charge any additional fees which may be required in connection with the following or credit any over-payment to Account No. 03-3125:

X Filing fees under 37 C.F.R. §1.16.

X Patent application processing fees under 37 C.F.R. §1.17.

\_\_\_\_ The issue fee set in 37 C.F.R. §1.18 at or before mailing of the Notice of Allowance, pursuant to 37 C.F.R. §1.311(b).

X Three copies of this sheet are enclosed.

A certified copy of previously filed foreign application No. \_\_\_\_\_  
 \_\_\_\_\_ filed in \_\_\_\_\_ on \_\_\_\_\_.  
 Applicant(s) hereby claim priority based upon this aforementioned  
 foreign application under 35 U.S.C. §119.

b6 b7C	Other (identify)	<u>An Express Mail Certificate of Mailing bearing label</u> <u>Number EK 166 980 297 US dated August 14, 2000.</u>

Respectfully submitted,

Fame m fame

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Applicant or Patentee: David M. Stern, et al. Attorney's 0575/62097/  
Serial or Patent No.: Not Yet Known Docket No: JPW/JML  
Filed or Issued: Herewith  
Title of Invention or Patent: A METHOD TO INCREASE CEREBRAL BLOOD FLOW IN AMYLOID  
ANGIOPATHY

VERIFIED STATEMENT (DECLARATION) CLAIMING  
SMALL ENTITY STATUS UNDER 37 C.F.R. §1.9(f)  
AND §1.27(d) - NONPROFIT ORGANIZATION

I hereby declare that I am an official empowered to act on behalf of the nonprofit organization identified below:

Name of Organization: The Trustees of Columbia University in the City of New York

Address of Organization: Broadway and West 116th Street  
New York, New York 10027

TYPE OF ORGANIZATION:

X UNIVERSITY OR OTHER INSTITUTION OF HIGHER EDUCATION  
TAX EXEMPT UNDER INTERNAL REVENUE SERVICE CODE 26 U.S.C. §§501(a) and  
501(c)(3)  
NONPROFIT SCIENTIFIC OR EDUCATIONAL UNDER STATUTE OF STATE OF THE UNITED  
STATES OF AMERICA  
NAME OF STATE: \_\_\_\_\_  
CITATION OF STATUTE: \_\_\_\_\_  
WOULD QUALIFY AS TAX EXEMPT UNDER INTERNAL REVENUE SERVICE CODE 26 U.S.C.  
§§501(a) and 501(c)(3) IF LOCATED IN THE UNITED STATES OF AMERICA  
WOULD QUALIFY AS NONPROFIT SCIENTIFIC OR EDUCATIONAL UNDER STATUTE OF STATE  
OF THE UNITED STATES OF AMERICA IF LOCATED IN THE UNITED STATES OF AMERICA  
NAME OF STATE: \_\_\_\_\_  
CITATION OF STATUTE: \_\_\_\_\_

I hereby declare that the nonprofit organization identified above qualifies as a nonprofit organization as defined in 37 C.F.R. §1.9(e)\* for purposes of paying reduced fees under 35 U.S.C. §41(a) and 41(b), with regard to the invention entitled A METHOD TO INCREASE CEREBRAL BLOOD FLOW IN AMYLOID ANGIOPATHY

by inventor(s) David M. Stern, Ann Marie Schmidt, Shi Du Yan, and Berislav Zlokovic  
described in:

X the specification filed herewith  
application serial no. \_\_\_\_\_ filed \_\_\_\_\_  
patent no. \_\_\_\_\_ issued \_\_\_\_\_

I hereby declare that rights under contract or law have been conveyed to and remain with the nonprofit organization with regard to the above identified invention.

If the rights held by the nonprofit organization are not exclusive each individual, concern, or organization known to have rights to the invention is listed below<sup>a</sup> and no rights to the invention are held by any person, other than the inventor, who could not qualify as a small business concern under 37 C.F.R. §1.9(d)\* or a nonprofit organization under 37 C.F.R. 1.9(e)\*

<sup>a</sup> NOTE: Separate verified statements are required from each person, concern, or organization having rights to the invention averring to their status as small entities. 37 C.F.R. §1.27.

Name: \_\_\_\_\_  
Address: \_\_\_\_\_

Individual Small Business Concern Nonprofit Organization

I acknowledge the duty to file, in this application or patent, notification of any change in status resulting in loss of entitlement to small entity status prior to paying, or at the time of paying, the earliest of the issue fee or any maintenance fee due after the date on which status as a small entity is no longer appropriate. 37 C.F.R. §1.28(b)\*.

I hereby declare that all statements made herein of my own knowledge are true and that all statements made on information and belief are believed to be true; and further that these statements were made with the knowledge that willful false statements and the like so made are punishable by fine or imprisonment, or both, under 18 U.S.C. §1001, and that such willful false statements may jeopardize the validity of the application, any patent issuing thereon, or any patent to which this verified statement is directed.

Name of Person Signing: Jack M. Granowitz  
Title In Organization: Executive Director, Columbia Innovation Enterprise  
Address: Columbia University, Engineering Terrace - Suite 363  
West 120th Street and Amsterdam Avenue, New York, New York, 10027  
Signature: \_\_\_\_\_  
Date Of Signature: \_\_\_\_\_

*Application  
for  
United States Letters Patent*

**To all whom it may concern:**

Be it known that **David M. Stern, Ann Marie Schmidt, Shi Du Yan, and Berislav Zlokovic**  
have invented certain new and useful improvements in  
**A Method To Increase Cerebral Blood Flow In Amyloid Angiopathy**  
of which the following is a full, clear and exact description.

A Method to Increase Cerebral Blood Flow In Amyloid  
Angiopathy

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The invention disclosed herein was made with Government support under Grant No. PO1AG16233 from the National Institutes of Health of the U.S. Department of Public Health. Accordingly, the U.S. Government has certain rights in this  
10 invention.

Background of the Invention

Throughout this application, various publications are  
15 referenced by number. Full citations for these publications may be found listed at the end of the specification immediately preceding the claims. The disclosures of these publications in their entireties are hereby incorporated by reference into this application in order to more fully  
20 describe the state of the art as known to those skilled therein as of the date of the invention described and claimed herein.

The pain of Alzheimer's disease results directly from the  
25 memory loss and cognitive deficits suffered by the patient. These eventually result in the patient's loss of identity, autonomy, and freedom. As a step toward curing this disease, alleviating its symptoms, or retarding its progression, it would be desirable to develop a transgenic animal model  
30 exhibiting the main debilitating phenotype of Alzheimer's disease, that is, memory loss, expressed concomitantly with the neuropathological correlates of Alzheimer's disease, for example, beta-amyloid accumulation, increased glial reactivity, and hippocampal cell loss.

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It is estimated that over 5% of the U.S. population over 65 and over 15% of the U.S. population over 85 are beset with some form of Alzheimer's disease (Cross, A. J., Eur J Pharmacol (1982) 82:77-80; Terry, R. D., et al., Ann Neurol 5 (1983) 14:497506). It is believed that the principal cause for confinement of the elderly in long term care facilities is due to this disease, and approximately 65% of those dying in skilled nursing facilities suffer from it.

10 Certain facts about the biochemical and metabolic phenomena associated with the presence of Alzheimer's disease are known. Two morphological and histopathological changes noted in Alzheimer's disease brains are neurofibrillary tangles (NFT) and amyloid deposits. Intraneuronal neurofibrillary 15 tangles are present in other degenerative diseases as well, but the presence of amyloid deposits both in the interneuronal spaces (neuritic plaques) and in the surrounding microvasculature (vascular plaques) seems to be characteristic of Alzheimer's. Of these, the neuritic plaques 20 seem to be the most prevalent (Price, D. L., et al., Drug Development Research (1985) 5:59-68). Plaques are also seen in the brains of aged Down's Syndrome patients who develop Alzheimer's disease.

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Summary of the Invention

The present invention provides a method for decreasing cerebral vasoconstriction in a subject suffering from chronic  
5 or acute cerebral amyloid angiopathy which comprises administering to the subject an inhibitor of receptor for advanced glycation endproduct (RAGE) in an effective amount to inhibit transcytosis of amyloid  $\beta$  peptides across the blood-brain barrier in the subject, thereby decreasing  
10 cerebral vasoconstriction in the subject. The invention further provides for a method for ameliorating neurovascular stress in a subject which comprises administering to the subject an effective amount of an inhibitor of receptor for advanced glycation endproduct (RAGE), so as to increase  
15 cerebral blood flow in the subject, thereby ameliorating neurovascular stress in the subject.

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### Brief Description of the Figures

**Figures. 1A-1F.** RAGE-dependent Amyloid beta ( $A\beta$ ) binding to brain endothelium and *in vivo* transcytosis across the blood brain barrier (BBB) followed by rapid neuronal uptake of circulating  $A\beta$  in mice. **Figure 1A** and **Figure 1B**, Binding to brain capillaries (a) and transport across the BBB (uptake by capillary-depleted brain expressed as cerebrovascular permeability product, PS) (b) of  $^{125}I$ -labeled human  $A\beta_{1-40}$  ( $hA\beta_{1-40}^*$ ) and  $A\beta_{1-42}$  ( $hA\beta_{1-42}^*$ ), and murine  $A\beta_{1-40}$  ( $mA\beta_{1-40}^*$ ) infused into cerebral arterial circulation at 4 nM for 10 min via brain perfusion technique in the absence and presence of  $\alpha$ -RAGE (40 mg/ml), sRAGE (40 nM), SR, scavenger receptor ligand - fucoidan (100 mg/ml), FNR5 (anti- $\beta$ 1-integrin antibody, 40 mg/ml) or RHDS (40 nM);  $hA\beta_{40-1}^*$  denotes  $^{125}I$ -labeled scrambled peptide. **Figure 1C** and **Figure 1D**, Dose-dependent effect of  $\alpha$ -RAGE (0.5 to 40 mg/ml) on brain capillary binding (c) and transport across the BBB (d) of  $^{125}I$ - $A\beta_{1-40}$  ( $hA\beta_{1-40}^*$ ). **Figure 1E**, Partial metabolic degradation of human  $A\beta_{1-40}$  ( $hA\beta_{1-40}^*$ ) and  $A\beta_{1-42}$  ( $hA\beta_{1-42}^*$ ) in brain parenchyma following 10 min of BBB transport of circulating  $^{125}I$ -labeled peptides. **Figure 1F**, Immunocytochemical detection of  $hA\beta_{1-40}$  with anti- $A\beta_{1-40}$  antibody (QCB) in brain parenchyma 10 min after its BBB transport in the absence (middle panel) and presence of  $\alpha$ -RAGE, 40 mg/ml (right panel); control vehicle-infused brain is shown on a right panel. n = 3 to 5 mice per group. \*p < 0.01.

**Figures 2A-2D.** Effect of RAGE blockade on  $A\beta$ -induced cytokine expression and oxidant stress in brain after BBB transport of circulating  $A\beta_{1-40}$ . Expression of TNF- $\alpha$  mRNA (left) and protein (right) (**Figure 2A**), and immunocytochemical detection of IL-6 (**Figure 2B**) and HO-1

(**Figure 2C**) 15 min following transport of human  $A\beta_{1-40}$  (4 nM) across the BBB in the presence or absence of  $\alpha$ -RAGE (40 mg/ml) or sRAGE (40 nM) in the arterial inflow in a brain perfusion model. Vehicle-infused brains were also shown in **Figures 2A-2C** as control. Graphs in **Figures 2A-2C** illustrate image analysis of immunocytochemical experiments in which mice were treated with either vehicle,  $A\beta_{1-40}$  alone, or  $A\beta_{1-40}$  plus  $\alpha$ -RAGE or sRAGE, as indicated. **Figure 2D**, Image analysis of mouse brains after 2 hrs of i.v. administration of  $A\beta_{1-40}$  (4 nM) in the absence and presence of  $\alpha$ -RAGE (40 mg/ml) or sRAGE (40 nM) infused 15 min prior to  $A\beta_{1-40}$  infusion. n = 5 mice per group. \*p < 0.01.

**Figures 3A-3C.** RAGE-dependent vasomotor effects of circulating  $A\beta$ . Decrease in CBF following i.v. administration of human  $A\beta_{1-40}$  (4 nM) (**Figure 3A**) and effect of  $\alpha$ -RAGE (40 mg/ml) (**Figures 3B-C**).  $\alpha$ -RAGE (1-10 mg/ml) and sRAGE (40 nM) blocked CBF changes produced by murine or human  $A\beta_{1-40}$ ; CBF values between 30 and 45 min after i.v. administration of peptides. sRAGE (40 nM) and IgG, lack of effect of an irrelevant IgG. n = 5 mice per group; \*p < 0.01.

**Figures 4A-4D.** Effects of RAGE blockade on cerebral blood flow (CBF) in TgAPPsw+/- mice. **Figure 4A**, Baseline CBF values and arterial blood pressure in 9 months old TgAPPsw+/- mice and aged-matched control mice. **Figure 4B**, Significant increase in CBF in 9 months old TgAPPsw+/- mice following administration of  $\alpha$ -RAGE (40 mg/ml); IgG, non-specific immunoglobulin **Figure 4C**, Image analysis of brains in TgAPPsw+/- mice for TNF- $\alpha$ , IL-6 and HO-1 2 hrs following treatment with either vehicle or  $\alpha$ -RAGE (40 mg/ml). **Figure 4D**, Increased vascular expression of RAGE and  $A\beta$  accumulation in Alzheimer's Disease (AD) brain. n = 5 mice per group; \*p

< 0.01.

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Detailed Description of the Invention

This invention provides for a method for decreasing cerebral vasoconstriction in a subject suffering from chronic or acute cerebral amyloid angiopathy which comprises administering to  
5 the subject an inhibitor of receptor for advanced glycation endproduct (RAGE) in an effective amount to inhibit transcytosis of amyloid  $\beta$  peptides across the blood-brain barrier in the subject, thereby decreasing cerebral  
10 vasoconstriction in the subject.

In one embodiment of the invention, the subject is a transgenic non-human animal or a human. In another embodiment of the invention, the non-human animal is a  
15 transgenic mouse which over-expresses mutant human amyloid beta precursor protein. In another embodiment of the invention, the subject suffers from Alzheimer's disease. In another embodiment of the invention, the chronic cerebral amyloid angiopathy is due to Alzheimer's disease, Down's  
20 syndrome, aging or angiopathy. In another embodiment of the invention, the acute cerebral amyloid angiopathy is due to head trauma, or stroke.

In one embodiment of the invention, the inhibitor is a  
25 molecule having a molecular weight from about 500 daltons to about 100 kilodaltons. In another embodiment of the invention, the inhibitor is an organic molecule or an inorganic molecule. In another embodiment of the invention, the inhibitor is a polypeptide or a nucleic acid molecule.  
30 In another embodiment of the invention, the inhibitor is soluble receptor for advanced glycation endproduct. In another embodiment of the invention, the inhibitor is an antibody which specifically binds to receptor for advanced

glycation endproduct.

The invention also provides for a method for ameliorating neurovascular stress in a subject which comprises  
5 administering to the subject an effective amount of an inhibitor of receptor for advanced glycation endproduct (RAGE), so as to increase cerebral blood flow in the subject, thereby ameliorating neurovascular stress in the subject.

10 In one embodiment of the invention, the inhibitor of receptor for advanced glycation endproduct (RAGE) is soluble receptor for advanced glycation endproduct (RAGE). In another embodiment of the invention, the neurovascular stress comprises cerebral amyloid angiopathy. In another embodiment  
15 of the invention, the neurovascular stress in the subject is caused by Alzheimer's disease, aging, Down's syndrome, head trauma, or stroke.

The invention also provides for a method for treating amyloid  
20 angiopathy in a subject who suffers therefrom which comprises administering to the subject an effective amount of an inhibitor of receptor for advanced glycation endproduct (RAGE) activity so as to increase cerebral blood flow in the subject and thereby treat amyloid angiopathy in the subject.

25 The present invention provides for a method for determining whether a compound increases cerebral blood flow in a subject which comprises: (a) administering the compound to a non-human animal which exhibits at least one of the following  
30 characteristics: a correlative memory deficit, elevation of amyloid  $\beta$  in the brain of the non-human animal, or amyloid  $\beta$  plaques in the brain of the non-human animal; (b) determining whether the non-human animal has increased

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cerebral blood flow when compared to cerebral blood flow in an identical non-human animal which was not administered the test compound; wherein an increase in cerebral blood flow indicates that the test compound increases cerebral blood flow in a subject.

In one embodiment of the invention, the non-human animal is a transgenic non-human animal. In another embodiment of the invention, the non-human animal is a transgenic mouse which over-expresses mutant human amyloid beta precursor protein. In another embodiment of the invention, the non-human animal is a transgenic non-human animal which is an animal model for Alzheimer's disease.

In one embodiment of the invention, the non-human animal is a Swiss transgenic mouse designated Tg APP sw+/-.

4. In one embodiment of the invention, the compound is a molecule having a molecular weight from about 500 daltons to about 100 kilodaltons. In one embodiment of the invention, the compound is an organic molecule or an inorganic molecule. In one embodiment of the invention, the compound is a polypeptide or a nucleic acid molecule.

The invention also provides for a method for ameliorating neurovascular stress in a subject which comprises administering to the subject an effective amount of an inhibitor of RAGE, so as to increase cerebral blood flow in the subject, thereby ameliorating neurovascular stress in the subject.

In one embodiment of the invention, the inhibitor of RAGE

is soluble RAGE. In another embodiment of the invention, the neurovascular stress comprises amyloid angiopathy. In another embodiment of the invention, the neurovascular stress is caused by Alzheimer's disease or aging of the subject.

5

The invention also provides for a method for treating amyloid angiopathy in a subject who suffers therefrom which comprises administering to the subject an effective amount of an inhibitor of receptor for advanced glycation endproduct (RAGE) activity so as to increase cerebral blood flow in the subject and thereby treat amyloid angiopathy in the subject.

The invention also provides for a method for treating cerebral amyloid angiopathy in a subject who suffers therefrom which comprises administering to the subject an effective amount of a compound determined to inhibit activity of receptor for advanced glycation endproducts (RAGE) in the method described hereinabove for determining whether a compound increases cerebral blood flow in a subject.

20

#### Definitions

"DNA sequence" is a linear sequence comprised of any combination of the four DNA monomers, i.e., nucleotides of adenine, guanine, cytosine and thymine, which codes for genetic information, such as a code for an amino acid, a promoter, a control or a gene product. A specific DNA sequence is one which has a known specific function, e.g., codes for a particular polypeptide, a particular genetic trait or affects the expression of a particular phenotype.

"Genotype" is the genetic constitution of an organism.

"Phenotype" is a collection of morphological, physiological and biochemical traits possessed by a cell or organism that results from the interaction of the genotype and the environment.

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"Phenotypic expression" is the expression of the code of a DNA sequence or sequences which results in the production of a product, e.g., a polypeptide or protein, or alters the expression of the zygote's or the organisms natural  
10 phenotype.

"Zygote" is a diploid cell having the potential for development into a complete organism. The zygote can result from parthenogenesis, nuclear transplantation, the merger of  
15 two gametes by artificial or natural fertilization or any other method which creates a diploid cell having the potential for development into a complete organism. The origin of the zygote can be from either the plant or animal kingdom.

20

In the practice of any of the methods of the invention or preparation of any of the pharmaceutical compositions an "therapeutically effective amount" is an amount which is capable of alleviating the symptoms of the disorder of memory  
25 or learning in the subject. Accordingly, the effective amount will vary with the subject being treated, as well as the condition to be treated. For the purposes of this invention, the methods of administration are to include, but are not limited to, administration cutaneously, subcutaneously, intravenously, parenterally, orally,  
30 topically, or by aerosol.

By "nervous system-specific" is meant that expression of a

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nucleic acid sequence occurs substantially in a nervous system tissue (for example, the brain or spinal cord). Preferably, the expression of the nucleic acid sequence in the nervous system tissue represents at least a 5-fold, more  
5 preferably, a 10-fold, and, most preferably, a 100-fold increase over expression in non-nervous system tissue.

The "non-human animals" of the invention include vertebrates such as rodents, non-human primates, sheep, dog, cow,  
10 amphibians, reptiles, etc. Preferred non-human animals are selected from the rodent family including rat and mouse, most preferably mouse.

The "transgenic non-human animals" of the invention are  
15 produced by introducing "transgenes" into the germline of the non-human animal.

#### Nucleotide and Amino Acid sequences of RAGE

20 The nucleotide and protein (amino acid) sequences for RAGE (both human and murine and bovine) are known. The following references which recite these sequences are incorporated by reference:

- 25 Schmidt et al, J. Biol. Chem., 267:14987-97, 1992  
Neeper et al, J. Biol. Chem., 267:14998-15004, 1992

RAGE sequences (DNA sequence and translation) from bovine, murine and homo sapien are listed hereinbelow. These  
30 sequences are available from GenBank as are other sequences of RAGE from other species:

LOCUS BOVRAGE 1426 bp mRNA MAM 09-DEC-1993 DEFINITION Cow

receptor for advanced glycosylation end products (RAGE) mRNA,  
complete cds.

ACCESSION M91212VERSION M91212.1 GI:163650

KEYWORDS RAGE; cell surface receptor.

- 5 SOURCE Bos taurus cDNA to mRNA. ORGANISM Bos taurus  
Eukaryota; Metazoa; Chordata; Craniata; Vertebrata;  
Euteleostomi; Mammalia; Eutheria; Cetartiodactyla;  
Ruminantia; Pecora; Bovoidea; Bovidae; Bovinae; Bos.  
REFERENCE 1 (bases 1 to 1426) AUTHORS Neeper,M.,  
10 Schmidt,A.M., Brett,J., Yan,S.D., Wang,F., Pan,Y.C.,  
Elliston,K., Stern,D. and Shaw,A. TITLE Cloning and  
expression of a cell surface receptor for advanced  
glycosylation end products of proteins  
JOURNAL J. Biol. Chem. 267, 14998-15004 (1992)  
15 MEDLINE 92340547 REFERENCE 2 (bases 1 to 1426) AUTHORS  
Shaw,A. TITLE Direct Submission JOURNAL Submitted (15-APR-  
1992) A. Shaw, Department of Cellular and Molecular Biology,  
Merck Sharp and Dohme Research Laboratories, West Point, PA  
19486  
20 USAFEATURES Location/Qualifiers source 1..1426 /organism="Bos  
taurus" /db\_xref="taxon:9913" /tissue\_type="lung" CDS  
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agggccttga 1261 ggagcccacg gccagaccgc atccatcagc cccttttctt  
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agccacatc tcccaaactt tcttccacaa 1381 ccagagcctc ccacaaaaag  
tgatgagtaa acacctgcca cattta// (SEQ ID NO:2)

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LOCUS HUMRAGE 1391 bp mRNA PRI 09-DEC-1993

DEFINITION Human receptor for advanced glycosylation end products (RAGE) mRNA,  
partial cds.

ACCESSION M91211 VERSION M91211.1 GI:190845

10 KEYWORDS RAGE; cell surface receptor.

SOURCE Homo sapiens cDNA to mRNA.

ORGANISM Homo sapiens Eukaryota; Metazoa; Chordata; Craniata; Vertebrata;  
Euteleostomi; Mammalia; Eutheria; Primates; Catarrhini; Hominidae; Homo.

REFERENCE 1 (bases 1 to 1391)

15 AUTHORS Neeper,M., Schmidt,A.M., Brett,J., Yan,S.D., Wang,F., Pan,Y.C., Elliston,K.,  
Stern,D. and Shaw,A.

TITLE Cloning and expression of a cell surface receptor for advanced glycosylation end  
products of proteins

JOURNAL J. Biol. Chem. 267, 14998-15004 (1992)

20 MEDLINE 92340547

REFERENCE 2 (bases 1 to 1391)

AUTHORS Shaw,A.

TITLE Direct Submission

JOURNAL Submitted (15-APR-1992) A. Shaw, Department of Cellular and Molecular  
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FEATURES Location/Qualifiers source 1..1391 /organism="Homo sapiens"  
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ORIGIN

15

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1381 cacatcttgc a// (SEQ ID NO:4)

LOCUS MUSRECEP 1348 bp mRNA ROD 23-AUG-1994

DEFINITION Mouse receptor for advanced glycosylation end products (RAGE) gene,  
5 complete cds.

ACCESSION L33412VERSION L33412.1 GI:532208

KEYWORDS receptor for advanced glycosylation end products.

SOURCE Mus musculus (strain BALB/c, sub\_species domesticus) (library: lambda gt10)  
male adult lung cDNA to mRNA.

10 ORGANISM Mus musculus Eukaryota; Metazoa; Chordata; Craniata; Vertebrata;  
Euteleostomi; Mammalia; Eutheria; Rodentia; Sciurognathi; Muridae; Murinae; Mus.

REFERENCE 1 (bases 1 to 1348)

AUTHORS Lundh,E.R., Morser,J., McClary,J. and Nagashima,M.

15 TITLE Isolation and characterization of cDNA encoding the murine and rat homologues  
of the mammalian receptor for advanced glycosylation end products

JOURNAL UnpublishedCOMMENT On Aug 24, 1994 this sequence version replaced  
gi:496146.

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polyA\_site 1333

5 BASE COUNT 301 a 394 c 404 g 249 t

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30 1321 tccatgtga gtaaacatt gatacggc// (SEQ ID NO:6)

**Inhibitors of RAGE:**

Inhibitors of RAGE include any molecule which, when introduced into a cell or a subject, is capable of inhibiting the biological activity of RAGE. For example, one such inhibitor would be able to inhibit the activity of RAGE as described: the activity of transcytosis of amyloid beta peptides across the blood brain barrier within a subject.

10 Examples of an inhibitor of RAGE activity are soluble RAGE, an antibody which specifically binds to RAGE, a truncated version of RAGE which is capable of acting as a competitive inhibitor of RAGE. A fragment of RAGE which includes the amyloid beta peptide binding portion of RAGE and introduced  
15 into the cell or subject as a soluble polypeptide. Other types of inhibitors would be known to one of skill in the art. For example, a small molecule could be prepared which mimics the amyloid beta peptide binding region of RAGE and administered alone as an inhibitor.

20

**Pharmaceutical compositions and Carriers**

As used herein, the term "suitable pharmaceutically acceptable carrier" encompasses any of the standard  
25 pharmaceutically accepted carriers, such as phosphate buffered saline solution, water, emulsions such as an oil/water emulsion or a triglyceride emulsion, various types of wetting agents, tablets, coated tablets and capsules. An example of an acceptable triglyceride emulsion useful in  
30 intravenous and intraperitoneal administration of the compounds is the triglyceride emulsion commercially known as Intralipid®.



Typically such carriers contain excipients such as starch, milk, sugar, certain types of clay, gelatin, stearic acid, talc, vegetable fats or oils, gums, glycols, or other known excipients. Such carriers may also include flavor and color  
5 additives or other ingredients.

This invention also provides for pharmaceutical compositions including therapeutically effective amounts of protein compositions and compounds together with suitable diluents,  
10 preservatives, solubilizers, emulsifiers, adjuvants and/or carriers useful in treatment of neuronal degradation due to aging, a learning disability, or a neurological disorder. Such compositions are liquids or lyophilized or otherwise dried formulations and include diluents of various buffer  
15 content (e.g., Tris-HCl., acetate, phosphate), pH and ionic strength, additives such as albumin or gelatin to prevent absorption to surfaces, detergents (e.g., Tween 20, Tween 80, Pluronic F68, bile acid salts), solubilizing agents (e.g., glycerol, polyethylene glycerol), anti-oxidants (e.g.,  
20 ascorbic acid, sodium metabisulfite), preservatives (e.g., Thimerosal, benzyl alcohol, parabens), bulking substances or tonicity modifiers (e.g., lactose, mannitol), covalent attachment of polymers such as polyethylene glycol to the compound, complexation with metal ions, or incorporation of  
25 the compound into or onto particulate preparations of polymeric compounds such as polylactic acid, polglycolic acid, hydrogels, etc, or onto liposomes, micro emulsions, micelles, unilamellar or multi lamellar vesicles, erythrocyte ghosts, or spheroplasts. Such compositions will influence  
30 the physical state, solubility, stability, rate of in vivo release, and rate of in vivo clearance of the compound or composition. The choice of compositions will depend on the physical and chemical properties of the compound.

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Controlled or sustained release compositions include formulation in lipophilic depots (e.g., fatty acids, waxes, oils). Also comprehended by the invention are particulate compositions coated with polymers (e.g., poloxamers or 5 poloxamines) and the compound coupled to antibodies directed against tissue-specific receptors, ligands or antigens or coupled to ligands of tissue-specific receptors. Other embodiments of the compositions of the invention incorporate particulate forms protective coatings, protease inhibitors 10 or permeation enhancers for various routes of administration, including parenteral, pulmonary, nasal and oral.

Portions of the compound of the invention may be "labeled" by association with a detectable marker substance (e.g., 15 radiolabeled with  $^{125}\text{I}$  or biotinylated) to provide reagents useful in detection and quantification of compound or its receptor bearing cells or its derivatives in solid tissue and fluid samples such as blood, cerebral spinal fluid or urine.

20 When administered, compounds are often cleared rapidly from the circulation and may therefore elicit relatively short-lived pharmacological activity. Consequently, frequent injections of relatively large doses of bioactive compounds may be required to sustain therapeutic efficacy. Compounds 25 modified by the covalent attachment of water-soluble polymers such as polyethylene glycol, copolymers of polyethylene glycol and polypropylene glycol, carboxymethyl cellulose, dextran, polyvinyl alcohol, polyvinylpyrrolidone or polyproline are known to exhibit substantially longer half- 30 lives in blood following intravenous injection than do the corresponding unmodified compounds (Abuchowski et al., 1981; Newmark et al., 1982; and Katre et al., 1987). Such modifications may also increase the compound's solubility in

aqueous solution, eliminate aggregation, enhance the physical and chemical stability of the compound, and greatly reduce the immunogenicity and reactivity of the compound. As a result, the desired *in vivo* biological activity may be  
5 achieved by the administration of such polymer-compound adducts less frequently or in lower doses than with the unmodified compound.

Attachment of polyethylene glycol (PEG) to compounds is  
10 particularly useful because PEG has very low toxicity in mammals (Carpenter et al., 1971). For example, a PEG adduct of adenosine deaminase was approved in the United States for use in humans for the treatment of severe combined immunodeficiency syndrome. A second advantage afforded by  
15 the conjugation of PEG is that of effectively reducing the immunogenicity and antigenicity of heterologous compounds. For example, a PEG adduct of a human protein might be useful for the treatment of disease in other mammalian species without the risk of triggering a severe immune response. The  
20 compound of the present invention capable of alleviating symptoms of a cognitive disorder of memory or learning may be delivered in a microencapsulation device so as to reduce or prevent an host immune response against the compound or against cells which may produce the compound. The compound  
25 of the present invention may also be delivered microencapsulated in a membrane, such as a liposome.

Polymers such as PEG may be conveniently attached to one or more reactive amino acid residues in a protein such as the  
30 alpha-amino group of the amino terminal amino acid, the epsilon amino groups of lysine side chains, the sulfhydryl groups of cysteine side chains, the carboxyl groups of aspartyl and glutamyl side chains, the alpha-carboxyl group

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of the carboxy-terminal amino acid, tyrosine side chains, or to activated derivatives of glycosyl chains attached to certain asparagine, serine or threonine residues.

5 Numerous activated forms of PEG suitable for direct reaction with proteins have been described. Useful PEG reagents for reaction with protein amino groups include active esters of carboxylic acid or carbonate derivatives, particularly those in which the leaving groups are N-hydroxysuccinimide, p-  
10 nitrophenol, imidazole or 1-hydroxy-2-nitrobenzene-4-sulfonate. PEG derivatives containing maleimido or haloacetyl groups are useful reagents for the modification of protein free sulfhydryl groups. Likewise, PEG reagents containing amino hydrazine or hydrazide groups are useful for  
15 reaction with aldehydes generated by periodate oxidation of carbohydrate groups in proteins.

In one embodiment the compound of the present invention is associated with a pharmaceutical carrier which includes a  
20 pharmaceutical composition. The pharmaceutical carrier may be a liquid and the pharmaceutical composition would be in the form of a solution. In another embodiment, the pharmaceutically acceptable carrier is a solid and the composition is in the form of a powder or tablet. In a  
25 further embodiment, the pharmaceutical carrier is a gel and the composition is in the form of a suppository or cream. In a further embodiment the active ingredient may be formulated as a part of a pharmaceutically acceptable transdermal patch.

30

#### Transgenic Technology and Methods

The following U.S. Patents are hereby incorporated by

reference: U.S. Patent No. 6,025,539, IL-5 transgenic mouse;  
U.S. Patent No. 6,023,010, Transgenic non-human animals  
depleted in a mature lymphocytic cell-type; U.S. Patent No.  
6,018,098, In vivo and in vitro model of cutaneous  
5 photoaging; U.S. Patent No. 6,018,097, Transgenic mice  
expressing human insulin; U.S. Patent No. 6,008,434, Growth  
differentiation factor-11 transgenic mice; U.S. Patent No.  
6,002,066; H2-M modified transgenic mice; U.S. Patent No.  
5,994,618, Growth differentiation factor-8 transgenic mice;  
10 U.S. Patent No. 5,986,171, Method for examining  
neurovirulence of polio virus, U.S. Patent No. 5,981,830,  
Knockout mice and their progeny with a disrupted hepsin gene;  
U.S. Patent No. 5,981,829, .DELTA.Nur77 transgenic mouse;  
U.S. Patent No. 5,936,138; Gene encoding mutant L3T4 protein  
15 which facilitates HIV infection and transgenic mouse  
expressing such protein; U.S. Patent No. 5,912,411, Mice  
transgenic for a tetracycline-inducible transcriptional  
activator; U.S. Patent No. 5,894,078, Transgenic mouse  
expressing C-100 app.

20

The methods used for generating transgenic mice are well  
known to one of skill in the art. For example, one may use  
the manual entitled "Manipulating the Mouse Embryo" by Brigid  
Hogan et al. (Ed. Cold Spring Harbor Laboratory) 1986.

25

See for example, Leder and Stewart, U.S. Patent No. 4,736,866  
for methods for the production of a transgenic mouse.

For sometime it has been known that it is possible to carry  
30 out the genetic transformation of a zygote (and the embryo  
and mature organism which result therefrom) by the placing  
or insertion of exogenous genetic material into the nucleus  
of the zygote or to any nucleic genetic material which

ultimately forms a part of the nucleus of the zygote. The genotype of the zygote and the organism which results from a zygote will include the genotype of the exogenous genetic material. Additionally, the inclusion of exogenous genetic material in the zygote will result in a phenotype expression of the exogenous genetic material.

The genotype of the exogenous genetic material is expressed upon the cellular division of the zygote. However, the phenotype expression, e.g., the production of a protein product or products of the exogenous genetic material, or alterations of the zygote's or organism's natural phenotype, will occur at that point of the zygote's or organism's development during which the particular exogenous genetic material is active. Alterations of the expression of the phenotype include an enhancement or diminution in the expression of a phenotype or an alteration in the promotion and/or control of a phenotype, including the addition of a new promoter and/or controller or supplementation of an existing promoter and/or controller of the phenotype.

The genetic transformation of various types of organisms is disclosed and described in detail in U.S. Pat. No. 4,873,191, issued Oct. 10, 1989, which is incorporated herein by reference to disclose methods of producing transgenic organisms. The genetic transformation of organisms can be used as an in vivo analysis of gene expression during differentiation and in the elimination or diminution of genetic diseases by either gene therapy or by using a transgenic non-human mammal as a model system of a human disease. This model system can be used to test putative drugs for their potential therapeutic value in humans.

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The exogenous genetic material can be placed in the nucleus of a mature egg. It is preferred that the egg be in a fertilized or activated (by parthenogenesis) state. After the addition of the exogenous genetic material, a complementary haploid set of chromosomes (e.g., a sperm cell or polar body) is added to enable the formation of a zygote. The zygote is allowed to develop into an organism such as by implanting it in a pseudopregnant female. The resulting organism is analyzed for the integration of the exogenous genetic material. If positive integration is determined, the organism can be used for the in vivo analysis of the gene expression, which expression is believed to be related to a particular genetic disease.

15 Attempts have been made to study a number of different types of genetic diseases utilizing such transgenic animals. Attempts related to studying Alzheimer's disease are disclosed within published PCT application WO89/06689 and PCT application WO89/06693, both published on Jul. 27, 1989, which published applications are incorporated herein by reference to disclose genetic sequences coding for Alzheimer's .beta.-amyloid protein and the incorporation of such sequences into the genome of transgenic animals.

25 Embryonal target cells at various developmental stages can be used to introduce transgenes. Different methods are used depending on the stage of development of the embryonal target cell. The zygote is the best target for micro-injection. In the mouse, the male pronucleus reaches the size of approximately 20 micrometers in diameter which allows reproducible injection of 1-2 pl of DNA solution. The use of zygotes as a target for gene transfer has a major advantage in that in most cases the injected DNA will be incorporated

into the host gene before the first cleavage (Brinster, et al. (1985) Proc. Natl. Acad. Sci. U.S.A. 82, 4438-4442). As a consequence, all cells of the transgenic non-human animal will carry the incorporated transgene. This will in general  
5 also be reflected in the efficient transmission of the transgene to offspring of the founder since 50% of the germ cells will harbor the transgene. Microinjection of zygotes is the preferred method for incorporating transgenes in practicing the invention.

10

Retroviral infection can also be used to introduce transgene into a non-human animal. The developing non-human embryo can be cultured in vitro to the blastocyst stage. During this time, the blastomeres can be targets for retroviral infection  
15 (Jaenich, R. (1976) Proc. Natl. Acad. Sci U.S.A. 73, 1260-1264). Efficient infection of the blastomeres is obtained by enzymatic treatment to remove the zona pellucida (Hogan, et al. (1986) in Manipulating the Mouse Embryo, Cold Spring Harbor Laboratory Press, Cold Spring Harbor, N.Y.). The viral  
20 vector system used to introduce the transgene is typically a replication-defective retrovirus carrying the transgene (Jahner, et al. (1985) Proc. Natl. Acad. Sci. U.S.A. 82, 6927-6931; Van der Putten, et al. (1985) Proc. Natl. Acad. Sci U.S.A. 82, 6148-6152). Transfection is easily and  
25 efficiently obtained by culturing the blastomeres on a monolayer of virus-producing cells (Van der Putten, supra; Stewart, et al. (1987) EMBO J. 6, 383-388). Alternatively, infection can be performed at a later stage. Virus or virus-producing cells can be injected into the blastocoele (Jahner,  
30 D., et al. (1982) Nature 298, 623-628). Most of the founders will be mosaic for the transgene since incorporation occurs only in a subset of the cells which formed the transgenic non-human animal. Further, the founder may contain various

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retroviral insertions of the transgene at different positions in the genome which generally will segregate in the offspring. In addition, it is also possible to introduce transgenes into the germ line, albeit with low efficiency, 5 by intrauterine retroviral infection of the midgestation embryo (Jahner, D. et al. (1982) supra).

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A third type of target cell for transgene introduction is the embryonal stem cell (ES). ES cells are obtained from pre- 10 implantation embryos cultured in vitro and fused with embryos (Evans, M. J., et al. (1981) Nature 292, 154-156; Bradley, M. O., et al. (1984) Nature 309, 255-258; Gossler, et al. (1986) Proc. Natl. Acad. Sci U.S.A. 83, 9065-9069; and Robertson, et al. (1986) Nature 322, 445-448). Transgenes can 15 be efficiently introduced into the ES cells by DNA transfection or by retrovirus-mediated transduction. Such transformed ES cells can thereafter be combined with blastocysts from a non-human animal. The ES cells thereafter colonize the embryo and contribute to the germ line of the 20 resulting chimeric animal. For review see Jaenisch, R. (1988) Science 240, 1468-1474.

As used herein, a "transgene" is a DNA sequence introduced into the germline of a non-human animal by way of human 25 intervention such as by way of the above described methods.

The disclosures of publications referenced in this application in their entireties are hereby incorporated by reference into this application in order to more fully 30 describe the state of the art as known to those skilled therein as of the date of the invention described and claimed herein.



EXPERIMENTAL DETAILS

Example 1: Receptor for Advanced Glycation Endproduct (RAGE)-  
dependent neurovascular dysfunction caused by amyloid- $\beta$   
peptide

Amyloid-beta peptides ( $A\beta$ ) are important in the pathogenesis of Alzheimer's dementia. We show that RAGE mediates  $A\beta$  transport across the blood-brain barrier (BBB) in mice followed by its rapid neuronal uptake, cytokine response, oxidant stress and reductions in the cerebral blood flow (CBF). Antagonizing RAGE in transgenic mice that overexpress mutant human  $A\beta$  precursor protein restored the CBF and ameliorated neurovascular stress. In Alzheimer's brains, vascular expression of RAGE was associated with  $A\beta$  accumulation. We suggest that RAGE at the BBB is a potential target for inhibiting vascular accumulation of  $A\beta$  and for limiting cellular stress and ischemic changes in Alzheimer's dementia.

Deposition of  $A\beta$  in the CNS occurs during normal aging and is accelerated by Alzheimer's Disease (AD). <sup>1-4</sup>  $A\beta$  is implicated in neuropathology of AD and related disorders. <sup>1-4</sup>  $A\beta$  peptides have neurotoxic properties *in vitro* <sup>5-7</sup> and *in vivo*, <sup>8-10</sup> and induce neuronal oxidant stress directly and indirectly by activating microglia. <sup>11-13</sup>  $A\beta$  generates superoxide radicals in brain endothelium, <sup>14</sup> and at higher concentrations may damage endothelial cells. <sup>15</sup> Recent studies from our and other laboratories suggest a major role of the blood-brain barrier (BBB) in determining the concentrations of  $A\beta$  in the CNS. <sup>16-25</sup> The BBB controls the entry of plasma-derived  $A\beta$  and its binding transport proteins into the CNS, and regulates the levels of brain-derived  $A\beta$

via clearance mechanisms.

RAGE (receptor for advanced glycation end-product), a multiligand receptor in the immunoglobulin superfamily binds free A $\beta$  in the nanomolar range, and mediates pathophysiological cellular responses when occupied by glycated ligands, A $\beta$ , S100/calgranulins or serum amyloid A.<sup>24,26-28</sup> RAGE is up-regulated on microglia and vascular endothelium in AD brains.<sup>29,30</sup> We have recently reported that RAGE may be involved in transport of A $\beta$  across human brain endothelial monolayers.<sup>24,31</sup> Our current study demonstrates that RAGE mediates *in vivo* transcytosis of A $\beta$ <sub>1-40</sub> and A $\beta$ <sub>1-42</sub> across the BBB in mice. RAGE-dependent BBB transport of A $\beta$  was coupled to its rapid neuronal uptake, induction of cellular stress and transient, but significant suppression of cerebral blood flow (CBF). Antagonizing RAGE in transgenic mice that overexpress mutant human A $\beta$  precursor protein (APP) restored the CBF and ameliorated cellular stress. In Alzheimer's brains, vascular expression of RAGE was associated with A $\beta$  accumulation. These data support the possibility that inhibiting RAGE at the BBB may limit vascular accumulation of A $\beta$  and reduce cellular stress and ischemic changes in Alzheimer's dementia.

**RAGE mediates *in vivo* transcytosis of A $\beta$  across the BBB**

RAGE-dependent binding to brain microvessels (Fig. 1a) and transport across the BBB (Fig. 1b) of human and mouse A $\beta$ <sub>1-40</sub>, and somewhat slower, but significant RAGE-dependent BBB transport of A $\beta$ <sub>1-42</sub> (Fig. 1b) and absence of its significant binding to microvessels (Fig. 1a) were found in mice (shown in Fig. 1) and guinea pigs. A $\beta$  transport into brain was significantly inhibited by 65% to 85% by circulating  $\alpha$ -RAGE

IgG (5-40 $\mu$ g/kg) and abolished by sRAGE. Several other molecular reagents including fucoidan (a ligand for the scavenger receptor type A), anti- $\beta$ 1-integrin antibodies, or RHDS peptide (5-9 sequence of A $\beta$ ) did not affect either BBB transport or binding of A $\beta$  (Figs. 1a and b). Although A $\beta$  peptides were partially metabolized during their transport across the BBB (i.e.,  $\leq$  50% for 10 min), significant and rapid RAGE-dependent neuronal uptake of circulating A $\beta$  was observed after the BBB transport (Fig. 1e).

10

#### Circulating A $\beta$ and RAGE-dependent neurovascular stress

Transport of A $\beta_{1-40}$  across the BBB was associated with an early cellular stress response that preceded changes in the CBF. The expression of TNF- $\alpha$  mRNA and protein on different cells in brain parenchyma, including neurons and brain endothelium was evident after 15 min of transport of circulating A $\beta$  across the BBB (Fig. 2a). Treatment with circulating sRAGE (Fig. 2a) or  $\alpha$ -RAGE IgG abolished A $\beta$ -induced TNF- $\alpha$  expression. A $\beta$  transport across the BBB resulted in rapid neuronal expression of IL-6 (Fig. 2b) and HO-1 (Fig 3c), and these effects were abolished by either  $\alpha$ -RAGE IgG (Fig. 2 b and c) or sRAGE, supporting the concept that RAGE-dependent A $\beta$  BBB transport initiates cellular stress in brain. RAGE-dependent A $\beta$ -induced cellular stress was found either after cerebral arterial or systemic intravenous administration of A $\beta$ , and persisted in brain for few hours. Fig. 2d illustrates expression of TNF- $\alpha$ , IL-6 and HO-1 in brain 2 hrs after i.v. administration of A $\beta_{1-40}$  at low nanomolar level.

Systemic administration of A $\beta_{1-40}$  (either human or murine) at low nanomolar concentrations resulted in time-dependent

decrease in the CBF, but did not affect systemic arterial blood pressure (Fig. 3a). Reductions in the CBF were detectable after 20-30 min of A $\beta$  administration, and maximal decrease in the CBF was observed between 40-60 min. CBF changes were completely antagonized by circulating  $\alpha$ -RAGE at 40  $\mu$ g/ml (Fig. 3b). A $\beta$ -induced cerebral vasospasm was antagonized by  $\alpha$ -RAGE in a dose-dependent manner, was abolished by sRAGE, but was not affected by an irrelevant antibody (Fig. 3c).

10

#### **RAGE blockade restores the CBF in Tg APP sw+/- mice**

Fig. 4a shows significant decrease in basal CBF values in 9 months old Tg APPsw+/- mice compared to age-matched control mice as determined by laser Doppler flowmetry, and confirmed by quantitative autoradiographic analysis. There was no difference in the arterial blood pressure between wild type and Tg APPsw+/- mice (Fig. 4a). Infusion of  $\alpha$ -RAGE dramatically increased the CBF in Tg APPsw+/- mice (Fig. 4b), and the effect was maximal between 60-120 min after systemic administration of  $\alpha$ -RAGE. An irrelevant IgG did not affect the CBF in Tg APPsw+/- animals (Fig. 4b). Systemic administration of  $\alpha$ -RAGE ameliorated cellular stress in brain of 9 month old Tg APPsw+/- mice, as indicated by moderate reduction in expression of TNF- $\alpha$ , IL-6 and HO-1 (Fig. 4c). Expression of RAGE on brain microvessels was enhanced in Tg APPsw+/- mice (Fig. 4d left), and increased vascular expression of RAGE was associated with accumulation of A $\beta$  in AD brains (Fig 4d right).

30

#### **Discussion**

These data demonstrate that RAGE has an important role in A $\beta$ -mediated uptake at the BBB and its transport into the central

nervous system, as well as A $\beta$ -mediated cellular perturbation.

The first set of studies employed synthetic A $\beta$  infused in to wild-type mice, and the results apply to acute exposure of vasculature to A $\beta$ .

This invention provides the following methods:

A method for blockading RAGE, with either sRAGE or anti-RAGE IgG which thereby,

- suppresses binding to and uptake of A $\beta$  in relation to the vessel wall

- inhibits A $\beta$ -induced cell stress in the vasculature and in neurons, consequent to systemic infusion of A $\beta$

Such an experimental model, although artificial, may be directly relevant to head trauma, stroke and other disorders in which there are acute elevations of A $\beta$ .

The second set of studies uses the Hsiao mice (reference for these is Hisao K, Chapman P, Nilsen S, Eckman C, Harigaya Y, Younkin S, Yang F, Cole G: correlative memory deficits, A $\beta$  elevation, and amyloid plaques in transgenic mice. Science 274:99, 1996). These experiments suggests that chronic exposure of vasculature to A $\beta$  results in RAGE-dependent vasoconstriction- thus, a RAGE blocker would be expected to increase cerebral blood flow in patients with increased levels of amyloid-beta peptide (at least when A $\beta$  is in the blood or blood vessel wall). These mice were made using the prion promoter, which expresses amyloid precursor protein in neurons and glial cells, predominately, but some seems to get into the vasculature as well. These mice are considered a

model of Alzheimer's disease. Thus, increasing cerebral blood flow in these mice could be interpreted as increasing cerebral blood flow in the setting of Alzheimer's disease. Decreased blood flow would be considered an adverse effect  
5 for cerebral function, thus, increasing blood flow would be considered (at least indirectly) neuroprotective.

The second set of studies actually is more powerful in terms of its implications since the mice are considered a model of  
10 Alzheimer's-type pathology.

### Methods

*Synthetic peptides:* A $\beta$ <sub>1-40</sub> and A $\beta$ <sub>1-42</sub> human forms, and A $\beta$ <sub>1-40</sub>  
15 murine form were be synthesized at the W M Keck Facility at Yale University using solid-phase tBOC(N-tert-butylloxycarbonyl)-chemistry, purified by HPLC, and the final products lyophilized and characterized by analytical reverse-phase HPLC, amino acid analysis, laser desorption mass  
20 spectrometry, as we previously described.<sup>22,24</sup> Stock solutions were prepared in DMSO to assure monomeric species, and kept at -80oC until use.

*Radioiodination:* of A $\beta$  was carried out with Na[<sup>125</sup>I] and  
25 Iodobeads (Pierce), and the resulting components resolved by HPLC.<sup>22,24</sup>

*Animals and tissue preparation:* TgAPPsw+/- mice (bearing the double mutation Lys670Asn, Met671Leu) 9 months of age were  
30 in a mixed C57B6/SJL background, as were age-matched wild type control mice were used throughout the study. Animals were screened for the presence of the APP transgenes by PCR as described.<sup>35</sup> For histology, mice received intraperitoneal (i.p.) pentobarbital (150 mg/kg) and were perfused



transcardially with 0.1M PBS (pH 7.4) at 4°C. The right hemisphere was immersion-fixed in 4% paraformaldehyde in 0.1 M phosphate buffer (pH 7.4) at 4°C overnight. The brain was cryoprotected in 30% sucrose in PBS at 4°C, and then fixed  
5 in paraformaldehyde as above at 4°C.

**Cerebral blood flow measurement:** CBF was monitored by Laser Doppler Flowmetry (LDF, Transonic BLF21, NY) as we described.  
36 LDF probes (0.8 mm diameter) were positioned on the  
10 cortical surface 2 mm posterior to the bregma, both 3 and 6 mm to each side of midline. The CBF was also determined by quantitative autoradiography using <sup>14</sup>C-iodoantipryine (IAP) using recently reported modified method in the whole mouse.  
37 Briefly, 0.15 μCi <sup>14</sup>C-IAP was injected i.p. and animals  
15 sacrificed after 2 min. Blood from the frozen heart was sampled to obtain the final blood <sup>14</sup>C-IAP level. Frozen brains were coronally sectioned at 20 μm and exposed to autoradiographic film along with radioactive <sup>14</sup>C standards. After a 5 day exposure, the film was developed and the  
20 resulting images analyzed by quantitative autoradiography to determine levels of <sup>14</sup>C-IAP in individual brain regions. The CBF was calculated as reported: 37,40  $F = -\lambda \ln (1 - C_{IN}(T) / \lambda C_{PL}) / T$ , where F is the rate of flow per unit mass (<sup>-1</sup>),  $C_{IN}(T)$  is activity in unit weight of brain at time T,  $C_{PL}$  is the  
25 concentration of <sup>14</sup>C-IAP in the blood, and λ is the distribution ratio of <sup>14</sup>C-IAP between brain and the perfusion medium or blood at the steady state, i.e. 0.8.

Aβ (4 nM/l) or vehicle were administered via femoral vein (n  
30 = 5 per group). α-RAGE, sRAGE etc.

**Brain perfusion model.** This model has been extensively used to determine peptide and protein binding to and transport across the BBB. 22,23,38,39 For intra-arterial brain perfusion  
35 technique mice were anesthetized with i.p. ketamine (0.5

mg/kg) and xylazine (5 mg/kg), and the right common carotid artery isolated and connected to an extracorporeal perfusion circuit via fine polyethylene cannula (PE10). Details of the extracorporeal perfusion circuit were as reported elsewhere.

5   <sup>22,23,38,39</sup> At the start of the perfusion, the contralateral common carotid artery was ligated, and both jugular veins severed to allow free drainage of the perfusate. Brains were perfused with oxygenated perfusion medium at a flow rate of 1 ml/min by peristaltic pump. The perfusion medium consisted  
10 of 20% sheep red blood cells (oxygen carrier) suspended in mock plasma containing 48 g/L dextran (FW 70 000) to maintain colloid osmotic pressure, and electrolytes and D-glucose (196 mg/dl) at concentrations corresponding to normal mouse plasma levels. Perfusion pressure and animal's own arterial blood  
15 pressure were continuously monitored. Blood gasses pO<sub>2</sub>, pCO<sub>2</sub> and pH and electrolytes in the arterial inflow and in animal's own blood were monitored. All physiological parameters were kept within the normal range as we described.  
<sup>22,23,38,39</sup>

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***Injection of radioisotopes for transport studies.***   <sup>[125I]-Aβ</sup>, <sup>99m</sup>Tc-albumin or <sup>14</sup>C-labeled inulin were infused into arterial inflow at a rate of 0.1 ml/min typically within 10 min for  
25 transport studies (corresponds to the linear phase of Aβ uptake). When the effects of different unlabeled molecular reagents were tested, those were injected 5 min prior to tracers injection and than simultaneously with radiolabeled ligands. At predetermined times within 10 min mice were  
30 sacrificed by decapitation, and brain tissue prepared for radioactivity analysis. TCA and HPLC analysis as we described were used to determine molecular forms of uptake of radiolabeled Aβ by the BBB. <sup>22,23</sup> Capillary-depletion technique was used to separate micravascular pellet from capillary-

depleted brain to quantify *in vivo* binding to microvessels vs. transport into brain parenchyma, as we reported. <sup>22,23</sup>

**Mathematical modeling for transport studies.** We have reported details of mathematical analysis elsewhere. <sup>22,23,38,39</sup> The uptake values for <sup>125</sup>I- Aβ were based on the amount of intact molecule as determined by the TCA and HPLC analysis. The rate of entry ( $K_{IN}$ ) is computed from eq. 1:  $d[C_{IN} (TEST-MOLECULE) - C_{IN} (ALBUMIN)]/dt = K_{IN} C_{PL} - K_{OUT} [C_{IN} (TEST-MOLECULE) - C_{IN} (ALBUMIN)]$ , where  $K_{OUT}$  is exit or efflux transfer coefficient, and  $R$  is the steady state or equilibrium ratio. Eq. 1 is integrated to give  $[C_{IN} (TEST-MOLECULE) - C_{IN} (ALBUMIN)]/C_{PL} = R (1 - e^{-K_{OUT} T})$  (eq. 2).  $R$  is the steady state ratio, and the ratio  $K_{IN}/K_{OUT}$  at infinite time, and  $T$  is infusion time. Numerical values for  $K_{OUT}$  may be obtained from the slope of the plot of  $\ln (R - [C_{IN} (TEST-MOLECULE) - C_{IN} (ALBUMIN)]/C_{PL})$  (eq. 3) against  $T$ , using the equation  $K_{OUT} = -\ln(R - [C_{IN} (TEST-MOLECULE) - C_{IN} (ALBUMIN)]/C_{PL})/T$  (eq. 4). Finally, the value for  $K_{IN}$  is derived by substituting the number for  $K_{OUT}$  in:  $K_{IN} = R K_{OUT}$  (eq. 5). When tracer uptake remains linear over studied period of time, the exist constant approaches zero, and  $K_{IN} = d[C_{IN} (TEST-MOLECULE) - C_{IN} (ALBUMIN)]/dt C_{PL}$ . The  $K_{IN}$  represents the fraction of circulating radioactive ligands that is taken up intact by 1 g of brain from 1 ml of plasma in 1 min, and is the same as the PS product if  $K_{IN}$  or  $PS \ll$  CBF, <sup>39</sup> a condition satisfied by Aβ. Advanced graphics software and the MLAB mathematical modeling system (as above) will be used to obtain graphic plots and compute transfer coefficients.

**Immunocytochemical analysis:** for TNF-α, IL-6 and HO-1 in brains of wild type mice and TgAPPsw+/- mice was performed using standard techniques, as described (26). Briefly, fresh-frozen, acetone-fixed brain sections of wild type and

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TgAPPsw+/- mice were stained with anti-TNF- $\alpha$  IgG (Santa Cruz), anti-IL-6 IgG (Santa Cruz and anti-HO-1 IgG (StressGen) as primary antibodies. The extent and intensity of staining in cellular elements was quantitated using the  
5 Universal Imaging System and NIH imaging systems. The relative intensity of cellular staining in control brain sections was compared to treated brains. Routine control sections included deletion of primary antibody, deletion of secondary antibody and the use of an irrelevant primary  
10 antibody.

*Statistical analysis.* Data from the proposed studies were analyzed by multifactorial analysis of variance (ANOVA) that ranged from one-way to three-way ANOVA. Each ANOVA included  
15 an analysis of residuals as a check on the required assumptions of normally distributed errors with constant variance. In the event the required assumptions were not satisfied, data transformations were considered. Appropriate multiple comparisons were included as a part of each  
20 analysis. For pair-wise comparisons, the Tukey method was used, and for comparisons with a control group we used Dunnett's test.

Example 2: RAGE at the Blood Brain Barrier Mediates  
25 Neurovascular Dysfunction Caused by Amyloid  $\beta_{1-40}$  peptide

Amyloid-beta peptides ( $A\beta$ ) are important in the pathogenesis of Alzheimer's dementia. We found that the receptor for advanced glycation end products (RAGE) mediates *in vivo*  
30 transcytosis of circulating  $A\beta_{1-40}$  across the blood-brain barrier (BBB) in mice. In an acute model in mice, blood to brain transport of  $A\beta_{1-40}$  (1-4 nM final plasma concentration) was coupled to its rapid neuronal uptake, cytokine responses

including enhanced production of tumor necrosis factor -  $\alpha$  mRNA and protein and interleukin-6, neuronal oxidant stress (e.g. increased expression of hemoxygenase-1), and sustained reductions in cerebral blood flow (CBF).  $A\beta$ -induced cellular stress and cerebral vasospasm were blocked by circulating  $\alpha$ -RAGE (40  $\mu$ g/ml). In a chronic model, in 9-month old transgenic Tg APP sw +/- mice, CBF was significantly reduced by 63% in comparison to age-matched controls, this reduction was reversible by circulating  $\alpha$ -RAGE in a dose-dependent fashion (10-40  $\mu$ g/ml). In brains of subjects suffering from Alzheimer's disease, increased vascular expression of RAGE was associated with peri-vascular accumulation of  $A\beta$ , vascular and peri-vascular accumulation of proteins with nitrosylated amino-acid residues and increased expression of endothelial nitric oxide (NO) synthase. We conclude that vascular dysfunction caused by  $A\beta$  via RAGE at the BBB may contribute to ischemic changes and neurovascular injury in Alzheimer's dementia.

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What is claimed is:

1. A method for decreasing cerebral vasoconstriction in a  
subject suffering from chronic or acute cerebral  
5 amyloid angiopathy which comprises administering to the  
subject an inhibitor of receptor for advanced glycation  
endproduct (RAGE) in an effective amount to inhibit  
transcytosis of amyloid  $\beta$  peptides across the blood-  
brain barrier in the subject, thereby decreasing  
10 cerebral vasoconstriction in the subject.
2. The method of claim 1, wherein the subject is a  
transgenic non-human animal or a human.
- 15 3. The method of claim 2, wherein the non-human animal is  
a transgenic mouse which over-expresses mutant human  
amyloid beta precursor protein.
4. The method of claim 1, wherein the subject suffers from  
20 Alzheimer's disease.
5. The method of claim 1, wherein the chronic cerebral  
amyloid angiopathy is due to Alzheimer's disease,  
Down's syndrome, aging or angiopathy.
- 25 6. The method of claim 1, wherein the acute cerebral  
amyloid angiopathy is due to head trauma, or stroke.
7. The method of claim 1, wherein the inhibitor is a  
30 molecule having a molecular weight from about 500  
daltons to about 100 kilodaltons.
8. The method of claim 1, wherein the inhibitor is an

organic molecule or an inorganic molecule.

9. The method of claim 1, wherein the inhibitor is a polypeptide or a nucleic acid molecule.
- 5 10. The method of claim 1, wherein the inhibitor is soluble receptor for advanced glycation endproduct.
- 10 11. The method of claim 1, wherein the inhibitor is an antibody which specifically binds to receptor for advanced glycation endproduct.
- 15 12. A method for ameliorating neurovascular stress in a subject which comprises administering to the subject an effective amount of an inhibitor of receptor for advanced glycation endproduct (RAGE), so as to increase cerebral blood flow in the subject, thereby ameliorating neurovascular stress in the subject.
- 20 13. The method of claim 12, wherein the inhibitor of receptor for advanced glycation endproduct (RAGE) is soluble receptor for advanced glycation endproduct (RAGE).
- 25 14. The method of claim 12, wherein the neurovascular stress comprises cerebral amyloid angiopathy.
15. The method of claim 12, wherein the neurovascular stress in the subject is caused by Alzheimer's disease, aging, Down's syndrome, head trauma, or stroke.
- 30 16. A method for treating amyloid angiopathy in a subject who suffers therefrom which comprises administering to

the subject an effective amount of an inhibitor of  
receptor for advanced glycation endproduct (RAGE)  
activity so as to increase cerebral blood flow in the  
subject and thereby treat amyloid angiopathy in the  
subject.

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A Method to Increase Cerebral Blood Flow In Amyloid  
Angiopathy

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Abstract of the Disclosure

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The present invention provides a method for decreasing  
10 cerebral vasoconstriction in a subject suffering from chronic  
or acute cerebral amyloid angiopathy which comprises  
administering to the subject an inhibitor of receptor for  
advanced glycation endproduct (RAGE) in an effective amount  
to inhibit transcytosis of amyloid  $\beta$  peptides across the  
15 blood-brain barrier in the subject, thereby decreasing  
cerebral vasoconstriction in the subject. The invention  
further provides for a method for ameliorating neurovascular  
stress in a subject which comprises administering to the  
subject an effective amount of an inhibitor of receptor for  
20 advanced glycation endproduct (RAGE), so as to increase  
cerebral blood flow in the subject, thereby ameliorating  
neurovascular stress in the subject.

**DECLARATION AND POWER OF ATTORNEY**

As a below-named inventor, I hereby declare that:

My residence, post office address, and citizenship are as stated below next to my name.

I believe I am the original, first and sole inventor (if only one name is listed below) or an original, first and joint inventor (if plural names are listed below) of the subject matter which is claimed and for which a patent is sought on the invention entitled:

A METHOD TO INCREASE CEREBRAL BLOOD FLOW IN AMYLOID ANGIOPATHY

the specification of which:  
(check one)

  X   is attached hereto.

       was filed on \_\_\_\_\_ as

Application Serial No. \_\_\_\_\_

and was amended \_\_\_\_\_  
(if applicable)

I hereby state that I have reviewed and understand the contents of the above-identified specification, including the claims, as amended by any amendment referred to above.

I acknowledge the duty to disclose to the U.S. Patent and Trademark Office all information known to me to be material to patentability as defined in Title 37, Code of Federal Regulations, Section 1.56.

I hereby claim foreign priority benefits under Title 35, United States Code, Section 119 (a)-(d) or Section 365(b) of any foreign application(s) for patent or inventor's certificate, or Section 365(a) of any PCT International Application which designated at least one country other than the United States, listed below. I have also identified below any foreign application for patent or inventor's certificate, or PCT International Application having a filing date before that of the earliest application from which priority is claimed:

Prior Foreign Application(s)			Priority Claimed	
<u>Number</u>	<u>Country</u>	<u>Filing Date</u>	<u>Yes</u>	<u>No</u>
N/A				

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Provisional Application No.

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10. *Journal of the American Academy of Child and Adolescent Psychiatry*, 35, 10, 1175-1182.

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and each of them, all c/o Cooper & Dunham LLP, 1185 Avenue of the Americas, New York, New York 10036, my attorneys, each with full power of substitution and revocation, to prosecute this application, to make alterations and amendments therein, to receive the patent, to transact all business in the Patent and Trademark Office connected therewith and to file any International Applications which are based thereon under the provisions of the Patent Cooperation Treaty.



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I hereby declare that all statements made herein of my own knowledge are true and that all statements made on information and belief are believed to be true; and further that these statements were made with the knowledge that willful false statements and the like so made are punishable by fine or imprisonment, or both, under Section 1001 of Title 18 of the United States Code and that such willful false statements may jeopardize the validity of the application or any patent issued thereon.

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*Page 4*

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*Citizenship* \_\_\_\_\_ *Date of signature* \_\_\_\_\_

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Zlokovic, Berislav

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